

WOLF, GREENFIELD & SACKS, P.C.
Federal Reserve Plaza
600 Atlantic Avenue
Boston, Massachusetts 02210
(617) 720-3500
Fax (617) 720-2441

FAX COVER SHEET

DATE: June 21, 2000

FAX RECEIVED
JUN 21 2000
PETITIONING OFFICE

TO: Beverly Flanagan, Esq.
APPLICANT: M. Ahotupa
SERIAL NO: 09/270,480
FILING DATE: March 15, 1999
TITLE: KITS FOR QUANTIFYING OXIDATION PARAMETERS OF LOW DENSITY LIPOPROTEINS AND USES THEREOF
FAX NO: 703-308-6916
Number of Pages (including Cover Sheet): 40

ORIGINAL DOCUMENTS WILL BE SENT:

☐ 1st Class Mail ☐ Overnight ☐ Air Mail ☒ Not Sent

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that a Petition to Revive Unavoidably Abandoned Application (with supporting documents) in the above-referenced application (Total of 40 pages including cover sheet) is/are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Typed or Printed Name of Person Monica E. Zombori

Date: June 21, 2000

Signing Certification Monica E. Zombori

This document contains confidential information intended for use only by the above-named recipient. If you are not the intended recipient, you should not disseminate, copy, or otherwise use this information. If you have received this fax in error, please notify the sender immediately by telephone or return fax. Thank you for your cooperation. The U.S. Postal Service.

IF YOU DID NOT RECEIVE ALL OF THE PAGES OF THIS TRANSMISSION OR IF ANY OF THE PAGES ARE ILLEGIBLE, PLEASE CALL IMMEDIATELY AT (617) 720-3500

WG&S File Number: 00013/7075

5

RECEIVED
JUN 21 2000
PETITIONS OFFICE


ATTORNEY'S DOCKET NO: 00013/7075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Ahotupa
Serial No: 09/270,480
Filed: March 15, 1999
For: KITS FOR QUANTIFYING OXIDATION PARAMETERS OF LOW DENSITY
LIPOPROTEINS AND USES THEREOF
Examiner: Unknown
Art Unit: Unknown

CERTIFICATE OF FACSIMILE TRANSMISSION 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being facsimile transmitted to the United States Patent and Trademark Office in accordance with 37 C.F.R. §1.6(d) to the attention of Beverly Flanagan, Esq., Office of Petitions, Patent and Trademark Office, Washington, D.C. 20231, FAX number 703-308-6916, on the 21st day of June, 2000.


Monica E. Zombori

Attn: Beverly Flanagan, Esq.
Office of Petitions
Patent and Trademark Office
Washington, DC 20231

PETITION TO REVIVE UNAVOIDABLY ABANDONED APPLICATION
UNDER 37 C.F.R. §1.137(a)

Madam:

Applicant hereby petitions under 37 C.F.R. §1.137(a) to revive the abandoned application due to unavoidable failure to respond to an Office Action.

A Notice of Abandonment was mailed from the USPTO on May 11, 2000. The asserted

resulted in the conclusion that no such Notice was ever received by Applicant's attorney.

Applicant's attorney previously filed a copy of the outstanding Notice to File Missing Parts and a response on April 7, 2000, along with a Petition to Withdraw the Holding of Abandonment, Statement in Support of Petition, and Docket records to support non-receipt of Office Action. It appears that these items were not received by the proper office at the USPTO, and therefore appropriate action was not taken in response to the Petition to Withdraw the Holding of Abandonment. Copies of these previously filed items therefore are enclosed.

The chronology of events leading to an unavoidable abandonment of the above-identified application is set forth in the Petition to Withdraw the Holding of Abandonment filed herewith. Additionally, submitted herewith are mail logs and a Declaration Regarding Mail Procedures to provide a showing that Applicant's attorney did not receive the originally-mailed Notice to File Missing Parts. Applicant believes the documentation supplied herewith is a sufficient showing that the entire delay in the filing of the reply until the filing of a grantable Petition was unavoidable.

Please charge the \$110.00 for payment of the petition fee set forth in 37 C.F.R. 1.17(l) to the account of the undersigned, Deposit Account No. 23/2825.

Having satisfied the requirements set forth in 37 C.F.R. §1.137(a), Applicant respectfully requests withdrawal of the Notice of Abandonment. In the event that this Petition is insufficient, Applicant requests an opportunity to file such additional documentation as the Director may require.

Respectfully submitted,



JOHN R. VAN AARTSEN
Atlantic Avenue
Boston, MA 02210
Tel: (617)720-3500

Attorney's Docket No.: 00013/7075
DATE: June 21, 2000


ATTORNEY'S DOCKET NO: 00013/7075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Ahotupa
Serial No: 09/270,480
Filed: March 15, 1999
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Examiner: Unknown
Art Unit: Unknown

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Monica E. Zombori

Attn: Beverly Flanagan, Esq.
Office of Petitions
Patent and Trademark Office
Washington, DC 20231

FAX RECEIVED

JUN 21 2000

PETITIONS OFFICE

Madam:

Transmitted herewith are the following documents:

- [X] Petition to Revive Unavoidably Abandoned Application Under 37 C.F.R. 1.137(a)
- [X] Mail log in support of non-receipt of Office Action
- [X] Declaration of Docketing Specialist Regarding Mail Procedures
- [X] Copy of Notice of Abandonment


Copies of the following documents, which were originally filed on April 7, 2000 in response to the Holding of Abandonment and Notice to File Missing Parts, are also transmitted herewith, as requested:

- [X] Previously Filed Petition to Withdraw the Holding of Abandonment Based on Failure to Receive Office Action
- [X] Previously Filed Statement in Support of Petition to Withdraw Holding of Abandonment
- [X] Previously Filed Declaration Claiming Small Entity Status
- [X] Copy of Faxed Notice to File Missing Parts
- [X] Previously Filed Fee Calculation Sheet
- [X] Copy of Previously Filed Check in the amount of \$572.00

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Please charge the fee of \$110.00 to cover the Petition fee or any other amount that may be required to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,



John R. Van Amsterdam
Reg No. 40,212
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617)720-3500

Attorney's Docket No.: 00013/7075

DATE: June 21, 2000

XNDD

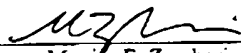
ATTORNEY'S DOCKET NO: 00013/7075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Ahotupa
Serial No: 09/270,480
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Examiner: Unknown
Art Unit: Unknown

CERTIFICATE OF FACSIMILE TRANSMISSION 37 C.F.R. §1.8(a)

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Monica E. Zombori

Attn: Beverly Flanagan, Esq.
Office of Petitions
Patent and Trademark Office
Washington, DC 20231

FAX RECEIVED
JUN 21 2000
PETITION'S OFFICE

DECLARATION OF DOCKETING SPECIALIST
REGARDING MAIL PROCEDURES

Kathy Akikie, Docket Entry Specialist for the firm of Wolf, Greenfield, & Sacks, P.C.,
representing Applicant in this matter, does hereby state as follows:

Any paper from the U.S. Patent and Trademark Office, and all other mail, is scrutinized
initially by our Docketing Specialist. The Docketing Specialist applies a rubber stamp and

such papers are then entered in the firm's Docket Log. The paper, with the Docketing
Specialist's notations, is then brought to me and I independently review the document and

determine the appropriate response date(s) and then compare that determination with the determination made by the Docketing Specialist. Only in very rare cases are there discrepancies which then must be resolved. Once the two sets of dates agree, I enter the information into our computerized system, and apply a docket date stamp to indicate one or more docket entries were made on the date stated.

If there is any question as to whether docketing is required in response to any particular document which has been received, the Docketing Specialist will attach a note to the document asking whether any docketing is required and will transmit the paper to the addressee in that form, with the note attached. It then becomes the responsibility of the addressee to inform the Docketing Specialist should there be any necessity to make docket entries. If so, the Docketing Specialist writes the appropriate response dates on the paper and gives it to me, and I make the computer entries and apply the docket date stamp.

With respect to patent and trademark prosecution in the U.S. Patent and Trademark Office, the computerized docket system has been programmed with tables of rules which will automatically generate a sequence of related docket entries in response to a particular type of paper being received. I enter into the computer system our case identification, confirm that case identification against the paper received to confirm that, for example, the serial number of the application as indicated on the Patent and Trademark Office paper is the same serial number we believe to correspond with our file number and then indicate the nature of the paper. The computer system then indicates the dates which normally would be docketed, according to the

our desire for anticipatory warnings of deadlines, and displays the proposed docket entries then confirm that these entries are the same as had been anticipated by the Docketing Specialist

and confirm the correctness of the entry, releasing it into the database and applying the docket date stamp.

I provide to each attorney, patent agent, law clerk, and support staff member, on a weekly basis, a list of all entries docketed for that individual (individually or jointly with others) for the next three calendar months.

I have extensive prior experience as a patent and trademark secretary. Thus, I am familiar with and can identify the various documents received from the U.S. and foreign Patent and Trademark Offices.

The undersigned, Kathy Akikie, being hereby warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application or any resulting registration, declares that she is executing this Declaration in Support of Petition to Revive on behalf of the firm Wolf, Greenfield, and Sacks, P.C. and all statements are made of her own knowledge and are true.

06/21/00
Date

Kathy Akikie
Signature

Tel.: (617) 720-3500

Kathy Akikie, Docket Entry Specialist
WOLF, GREENFIELD, & SACKS, P.C.
Attorneys for Applicant

**ABANDONMENT/TERMINATION
LETTER**

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100
"OC000000005108876"



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/270,480	03/15/1999	MARKKU AHOTUPA	O0013/7075

JOHN R VAN AMSTERDAM
WOLF GREENFIELD & SACKS
600 ATLANTIC AVENUE
BOSTON, MA 02210

Date Mailed: 05/11/2000

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 04/08/1999.

- No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(c).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(c).

Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Patent Examination Division (703) 308-1201

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Serial No. 04/270,480 File No. 00013/7075 By: JRV
 Title: KITS FOR QUANTIFYING OXIDATION PARAMETERS OF LOW...
 Application of: Amstutz et al. WGS Date: NDD

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

<input type="checkbox"/> Mailing by Express Mail (37 CFR 1.10)	<input type="checkbox"/> Provisional Application Cover Sheet
<input type="checkbox"/> Express Mail Label No. _____	<input type="checkbox"/> Multiple Dependent Claim Fee Sheet
<input type="checkbox"/> Patent Application	<input type="checkbox"/> Inf. Disc. Statement, PTO Form 1449
<input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional	<input type="checkbox"/> References Cited
incl. _____ pages, (_____ pgs) Specification,	<input type="checkbox"/> Priority Document(s) # _____
(_____ pgs) Abstract, (_____ pgs) Claims (_____ # claims)	<input type="checkbox"/> Copy of Notice to File Missing Parts
<input checked="" type="checkbox"/> Design Patent Application	<input type="checkbox"/> Amendment/Response
Declaration(s) <u>for Patent Application</u>	<input type="checkbox"/> Petition for Ext. of Time (x2)
Drawings _____ Sheet(s) (FIGS. _____)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Letter to Official/Draftsperson
<input checked="" type="checkbox"/> Utility Patent Application Transmittal	<input type="checkbox"/> Notice of Appeal
Fee calculation sheet (x2)	<input type="checkbox"/> Brief (x3)
CPA Transmittal	<input checked="" type="checkbox"/> Check for \$ <u>572.00</u> Check # <u>18784</u>
Verified Statement claiming small entity status	<input type="checkbox"/> Transmittal Letter (x2)
Assignment and Cover Sheet	<input checked="" type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)

☒ Other Petition to Withdraw The Holding of Abandonment...
Statement in Support of Petition to Withdraw Holding...
Docket records to support non-receipt of Office Action

DATE MAILED April 7, 2000

WOLF, GREENFIELD & SACKS, P.C.
 PTO ACCOUNT
 600 ATLANTIC AVENUE
 BOSTON, MA 02210

DATE	INVOICE	AMOUNT
	00013/7075	

5-2/110

18784

PAY

572.00

DOLLARS

CHECK NO.	TO THE ORDER OF	DATE
18784	COMMISSIONER OF PATENTS & TRADEMARKS	4-7-00

CHECK AMOUNT
572.00

STATE STREET BANK AND TRUST COMPANY
 BOSTON, MA 02101

[Signature]

⑈00018784⑈ ⑆011000028⑆ 7427 365 7⑈

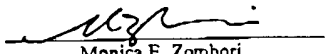
ATTORNEY'S DOCKET NO: 00013/7075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Ahotupa
Serial No: 09/270,480
Filed: March 15, 1999
For: KITS FOR QUANTIFYING OXIDATION PARAMETERS OF LOW DENSITY
LIPOPROTEINS AND USES THEREOF
Examiner: Unknown
Art Unit: Unknown

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the 7th day of April, 2000.


Monica E. Zombori

Box Missing Parts
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

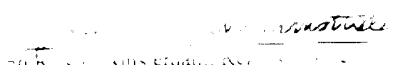
Transmitted herewith are the following documents:

- ☒ [X] Petition to Withdraw the Holding of Abandonment Based on Failure to Receive Office Action
- ☒ [X] Statement in Support of Petition to Withdraw Holding of Abandonment
- ☒ [X] Docket records to support non-receipt of Office Action
- ☒ [X] Declaration for Patent Application
- ☒ [X] Declaration Claiming Small Entity Status
- ☒ [X] Copy of Faxed Notice to File Missing Parts
- ☒ [X] Fee Calculation Sheet
- ☒ [X] Check in the amount of \$572.00
- ☒ [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

If the amount of the enclosed check is determined to be insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,


WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617) 720-3500

ATTORNEY DOCKET NO. 00013/7075
DATE: April 7, 2000
XNDD

ATTORNEY'S DOCKET NO: 00013/7075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Ahotupa
Serial No: 09/270,480
Filed: March 15, 1999
For: KITS FOR QUANTIFYING OXIDATION PARAMETERS OF LOW DENSITY
LIPOPROTEINS AND USES THEREOF

Examiner: Unknown
Art Unit: Unknown

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John R. Van Amsterdam

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

FAX RECEIVED
JUN 21 2000

**PETITION TO WITHDRAW THE HOLDING OF
ABANDONMENT BASED ON FAILURE TO RECEIVE OFFICE ACTION**

Applicant respectfully requests that the abandonment for the above-identified application be withdrawn because the Notice to File Missing Parts mailed April 6, 1999, was not received by the undersigned attorneys. In the event this petition is granted, Applicant respectfully requests that the enclosed response to the Notice to File Missing Parts be entered.

mailed April 6, 1999, was received by Applicant's representatives on April 9, 1999. Applicant's representatives did not receive any further correspondence from the U.S. Patent and Trademark Office (USPTO) until Nina D. Bailey of the USPTO (Office of Initial Patent

Examination) telephoned on April 4, 2000 about the failure to respond to the Notice to File Missing Parts which was never received.

Applicable Rules and Procedures

According to MPEP § 711.03(c), "abandonment takes place by operation of law for failure to reply to an Office action." Accordingly, Applicant files this Petition To Withdraw Holding Of Abandonment.

MPEP § 711.03(c) sets forth the requirements for a Petition to Withdraw Holding of Abandonment based on the failure to receive an Office Action. MPEP § 711.03(c) states in part that:

"[A]n allegation that an Office Action was not received may be considered as a petition for the withdrawal of the holding of abandonment. If the allegation is adequately supported, the petition may be granted and a new Office Action mailed."

MPEP § 711.03(c) also sets forth the requirements for showing required to support a Petition to Withdraw Holding of Abandonment based on the failure to receive an Office Action. MPEP § 711.03(c) states in part that:

"The showing required to establish the failure to receive an Office action must consist of a statement from the practitioner stating that the Office action was not received by the practitioner and attesting to the fact that a search of the file jacket and docket records indicates that an Office action was not received. A copy of the docket record where the nonreceived Office action would have been entered had it been received and docketed must be attached to and referenced in the practitioner's statement "

Applicant has complied with the requirements for making a successful petition to withdraw the holding of abandonment. In particular, Applicant has provided (1) a statement by Applicant's attorney attesting to an unsuccessful search of the file jacket and docket

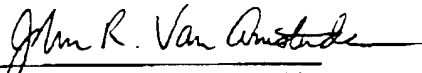
records for receipt of the Notice to File Missing Parts mailed April 6, 1999, and (2) a copy of the relevant docket records. If an Office Action had been received, the docket records of Applicant's representatives would have been updated with an appropriate docket entry or entries for the due date for responding to the Office Action and subsequent extendable due dates as referenced in the accompanying statement from the Applicants' attorney. Since no docket entry exists for responding to the Notice to File Missing Parts mailed April 6, 1999 in the docket records, the docket records indicate that the Office Action was not received.

Therefore, in accordance with MPEP § 711.03(c), Applicants have provided a showing required to establish the failure to receive the Notice to File Missing Parts mailed April 6, 1999. Thus, the Petition to Withdraw the Holding of Abandonment should be granted and the response to the Notice to File Missing Parts included with this petition should be entered.

If it is determined, after reviewing the petition, that this petition should not be granted for some reason, then Petitions Branch is respectfully requested to call Applicant's representatives at the number listed below.

If there is a fee associated with this petition, please charge any deficiency to deposit account number 23/2825.

Respectfully submitted,



John R. Van Amsterdam, Reg. No.: 40,212
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210
Tel. (617) 720-3500

AND:

ATTORNEY'S DOCKET NO: 00013/7075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Ahotupa
Serial No: 09/270,480
Filed: March 15, 1999
For: KITS FOR QUANTIFYING OXIDATION PARAMETERS OF LOW DENSITY
LIPOPROTEINS AND USES THEREOF

Examiner: Unknown
Art Unit: Unknown

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the 7th day of April, 2000.


John R. Van Amsterdam

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**STATEMENT IN SUPPORT OF PETITION TO
WITHDRAW HOLDING OF ABANDONMENT**

In accordance with the procedure for petitioning to withdraw a holding of abandonment set forth in MPEP § 711.03(c), Applicant's attorney attests to and makes the following statement.

The Notice to File Missing Parts mailed on April 6, 1999 from the United States Patent Office was received by Applicant's attorney on April 13, 1999. Applicant's attorney immediately filed a response to the Notice to File Missing Parts on April 13, 1999. The response was received by the Patent Office on April 14, 1999. The Patent Office Action was not received by Applicant's attorney.

All incoming mail at our office is checked by at least two persons for docketing requirements. All correspondence from the United States Patent and Trademark Office is docketed in a computerized docketing system which provides notification of upcoming due dates.

FAX RECEIVED
JUN 21 2000

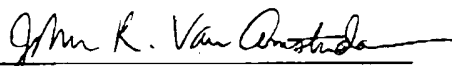
and dates for response with a Petition for Extension of Time.

The Office Action which was not received by the undersigned was a Notice to File Missing Parts dated April 6, 1999, for which a response was due on or before June 6, 1999. Therefore, according to the docketing procedure outlined above, docket entries would have been made for the due date of June 6, 1999, as well as for the due dates with monthly extensions of time which fell on July 6, August 6, September 6, and October 6, 1999.

The docket record for this application (Serial No. 09/270,480; Attorney's Docket No. 00013/7075) containing the June, July, August, September, and October dates referenced above are attached hereto. No docket entries for responding to the Notice to File Missing Parts for this application are present for the above-listed due dates.

In conclusion, there are no docket records indicating that the Office Action was received by Applicant's attorney. Therefore, having fulfilled the requirements of MPEP § 711.03(c) for a showing that an Office Action was not received, Applicant's attorney respectfully requests that the Petition to Withdraw the Holding of Abandonment Based on Failure to Receive an Office Action, filed herewith, be granted.

Respectfully submitted,


John R. Van Amsterdam, Reg. No. 40, 212
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210
Telephone (617) 720-3500

Attorney's Docket No. 00013/7075
Dated: April 7, 2000
XNDD

Attorney Docket No. 00013/7075 (JRV)

Inventor(s): Ahotupa et al.

Serial No: 09/270,480

Filed: March 15, 1999

CHECK BOX, if applicable:

For: KITS FOR QUANTIFYING OXIDATION PARAMETERS OF LOW
DENSITY LIPOPROTEINS AND USES THEREOF

☐ DUPLICATE

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	25-20=	5x	\$ 18	= \$ 90.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	6-3=	3 x	\$ 78	= \$ 234.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(e))	\$ 690.00
	Fee for Petition for Extension of Time (if any)				\$
	Total of above Calculations =				\$ 1,014.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 507.00
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$ 65.00
	TOTAL =				\$ 572.00

1. A check in the amount of \$ 572.00 is enclosed

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16 ☒ 1.17 or ☒ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any

John R. Van Amsterdam
John R. Van Amsterdam, Reg. No. 40,212
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500
Attorneys of Record

Docket No. 00013/7075 (JRV)
Date: April 7, 2000

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

KITS FOR QUANTIFYING OXIDATION PARAMETERS OF LOW DENSITY LIPOPROTEINS

the specification of which is attached hereto unless the following is checked:

(x) was filed on March 15, 1999, as United States Application No. 09/270,480 or PCT
(Include Series Code)
International Application No. _____, bearing attorney docket No.
00013/7075, and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a), (b):

(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	Priority Claimed	
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
(Number)	(Country)	(DD/MM/YY Filed)	<input type="checkbox"/> YES	<input type="checkbox"/> NO
(Number)	(Country)	(DD/MM/YY Filed)	<input type="checkbox"/> YES	<input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

(Application Number)	(filing date)
(Application Number)	(filing date)

I hereby declare the foregoing to be true.

I hereby acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56.

which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application No.)	(filing date)	(status-patented, pending, abandoned)
(Application No.)	(filing date)	(status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date)	(status-patented, pending, abandoned)
-----------------	-----------------	-------------------	---------------------------------------

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David Wolf	17,528	Peter C. Lando	34,654	Michael G. Verga	39,410
George L. Greenfield	17,756	Gary S. Engelson	35,128	Robert E. Rigby, Jr.	36,904
Stanley Sacks	19,900	Peter J. Gordon	35,164	Robert A. Skrivanek, Jr.	41,316
Edward F. Perlman	28,105	Randy J. Pritzker	35,986	Robert M. Abrahamsen	40,886
Lawrence M. Green	29,384	Richard F. Giunta	36,149	Lesley A. Hamlin	41,054
Steven J. Henry	27,900	Douglas R. Wolf	36,971	Ivan D. Zitkovsky	37,482
Therese A. Hendricks	30,389	Elizabeth R. Plumer	36,637	Michèle J. Young	43,299
Edward R. Gates	31,616	Timothy J. Oyer	36,628	Edward J. Russavage	43,069
William R. McClellan	29,409	John N. Anastasi	37,765	Alan B. Sherr	42,147
Ronald J. Kransdorf	20,004	Helen C. Lockhart	39,248	John C. Gorecki	38,471
M. Lawrence Oliverio	30,915	James M. Hanifin, Jr.	39,213	William G. Gosz	27,787
Charles E. Pfund	17,030	Christopher S. Schultz	37,929	Thomas P. Grodt	41,045
Jason M. Honeymann	31,624	Paul D. Sorkin	39,039	Neil P. Ferraro	39,188
James H. Morris	34,681	John R. Van Amsterdam	40,212	Julie A. Beberman	40,906
		Matthew B. Lowrie	38,228	Lisa B. Winsor	44,405

Address all telephone calls to John R. Van Amsterdam at telephone no. (617) 720-3500. Address all correspondence to

John R. Van Amsterdam
c/o Wolf, Greenfield & Sacks, P.C.,
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature

Full name of sole or first inventor

Date

20.5.1999

Attorney's Docket No. 00013/7075 JRV

Applicant or Patentee: Oy Aboatech Ab

Serial or Patent No.: 09/270,480

Filed or Issued: March 15, 1999

For: KITS FOR QUANTIFYING OXIDATION PARAMETERS OF LOW DENSITY LIPOPROTEINS

**DECLARATION CLAIMING SMALL ENTITY STATUS
(SMALL BUSINESS CONCERN)**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on
behalf of the concern identified below:

NAME OF CONCERN: Oy Aboatech Ab

ADDRESS OF CONCERN: Tykistokatu 4A, FIN-20520 Turku, Finland

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled KITS FOR QUANTIFYING OXIDATION PARAMETERS OF LOW DENSITY LIPOPROTEINS by inventor(s), Markku Ahotupa, described in

- ☐ the specification filed herewith
☒ application serial no. 09/270,480, filed March 15, 1999
☐ patent no. _____, issued _____.

If the rights held by the small business concern are not exclusive, each individual concern or organization having rights to the invention is listed below* and its rights to the invention are listed below.

* If the rights are held by a concern or organization under 37 CFR 1.9(e)

- ☐ no such person, concern, or organization
☐ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME Oy Aboatech Ab
ADDRESS Tykistokatu 4A, FIN-20520 Turku, Finland
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

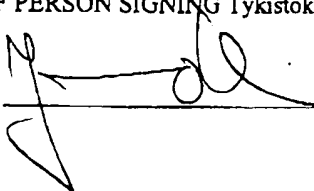
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Jarmo Kallio
TITLE OF PERSON IF OTHER THAN OWNER: Managing Director
ADDRESS OF PERSON SIGNING Tykistokatu 4A, FIN-20520 Turku, Finland

SIGNATURE

DATE

 May 18. 1989

CROSS OFFS FOR: JRV - John R. Van Amsterdam 04/06/2000 14:51:08

Due Date Comment	File Number	ID Number	Remarks	Disposition	Set Off
05/15/1999	00013/7075		File Information Disclosure Statement (2M) c/o date passed File Information Disclosure Statement (3M) c/o date passed	MKT 06/25/19	
06/15/1999	00013/7075		Cite Prior Art to U.S. Patent Office? (1 of 2) c/o date passed	MKT 09/12/19	
07/16/1999	00013/7075	09/270480	Cite Prior Art to U.S. Patent Office? (1 of 2) c/o date passed	MKT 09/12/19	
08/18/1999	00013/7075	09/270480	Cite Prior Art to U.S. Patent Office? (2 of 2) c/o date passed	MKT 09/12/19	
09/20/1999	00013/7075	09/270480	Cite Prior Art to U.S. Patent Office? (1 of 2) IDS filed 9/20/99/JRV	MKT 10/02/19	
10/20/1999	00013/7075	09/270480	Notes: p/fax dtd 08/20/99 Cite Prior Art to U.S. Patent Office? (2 of 2) IDS filed 9/20/99/JRV	MKT 10/04/19	
11/15/1999	00013/7075		Notes: p/fax dtd 08/20/99 Advise Client - Foreign/PCT Filing (8M) c/o per RXC	MKT 11/27/19	
11/15/1999	00013/7075		Notes: *8M ltr to cl 11/24 JRV Convert to Provisional? (8M) c/o date passed	MKT 01/16/20	
11/15/1999	00013/7075		Advise Client - Foreign/PCT Filing (8M) c/o per RXC	MKT 11/27/19	
11/15/1999	00013/7075		Notes: *8M ltr to cl 11/24 JRV Advise Client - Foreign/PCT Filing (8M) c/o per RXC	MKT 11/27/19	
12/15/1999	00013/7075		Notes: *8M ltr to cl 11/24 JRV Filing Receipt Received? Rec'd 4/9/99	MKT 04/13/19	
01/15/2000	00013/7075		Foreign/PCT Filing Due In Two Months (10M) c/o per RXC	MKT 01/16/20	
01/15/2000	00013/7075		Notes: *1/s 1/13 Convert to Provisional? (10M) c/o date passed	MKT 02/21/20	
01/15/2000	00013/7075		Foreign/PCT Filing Due In Two Months (10M) c/o per RXC	MKT 01/16/20	
01/15/2000	00013/7075		Notes: *1/s 1/13 Foreign/PCT Filing Due In Two Months (10M) c/o per RXC	MKT 01/16/20	
02/15/2000	00013/7075		Notes: *1/s 1/13 Foreign/PCT Filing Due In One Month (11M) c/o per RXC	MKT 02/21/20	
02/15/2000	00013/7075		Notes: *rmdt 2/15 Convert to Provisional? (11M) c/o date passed	MKT 03/25/20	

Cross Offs for: JRV - John R. Van Amsterdam 04/06/2000 14:51:03

Due Date Comment

File Number

ID Number

Remarks

Disposition

MT 02/21/21

MT 01/10/20

02/15/2000

00013/7075

Foreign/PCT Filing Due In One Month (11M)
c/o per RJC

02/15/2000

00013/7075

Foreign/PCT Filing Due In One Month (11M)
c/o per RJC

03/07/2000

00013/7077

Amendment (Three Extensions Possible)
RESF 3/7/00/MLO

03/15/2000 NO EXT

00013/7075

File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

03/15/2000 NO EXT

00013/7075

File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

03/15/2000 NO EXT

00013/7075

File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

03/15/2000 NO EXT

00013/7075

File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

03/15/2000 NO EXT

00013/7075

File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

03/15/2000 NO EXT

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File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

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File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

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File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

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c/o per LHM

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File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

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c/o per LHM

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c/o per LHM

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c/o per LHM

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c/o per LHM

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File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

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c/o per LHM

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c/o per LHM

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c/o per LHM

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c/o per LHM

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c/o per LHM

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c/o per LHM

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c/o per LHM

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c/o per LHM

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c/o per LHM

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c/o per LHM

03/15/2000 NO EXT

00013/7075

File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

03/15/2000 NO EXT

00013/7075

File Foreign/PCT Applications (12M) NO EXT
c/o per LHM

Cross Ofis for: IFD - International Filing Dept. 04/06/2000 14:51:09

Due Date	Comment	File Number	ID Number	Remarks	Disposition	Word Off
06/21/2000		00013/7077		Foreign/PCT Filing Due In One Month (11M) cl handles ff /MLO 8/22/99	MGT 08/27/1	
06/21/2000		00013/7077		Foreign/PCT Filing Due In One Month (11M) cl handles ff /MLO 8/22/99	MGT 08/27/1	
06/21/2000		00013/7077		Foreign/PCT Filing Due In One Month (11M) cl handles ff /MLO 8/22/99	MGT 08/27/1	
06/29/2000		00013/7075	09/270480	Recorded Assignment Received? Rec'd on 12/30/99	LXS 01/03/2	
07/21/2000 NO EXT		00013/7077		File Foreign/PCT Applications (12M) NO EXT cl handles ff /MLO 8/22/99	MGT 08/27/1	
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07/23/2000		00013/7077	09/359218	First Office Action Not Received - Send Status Letter rec 12/09/99e	KXA 12/16/1	



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/270,480	04/15/99	ANOTLIPA	0001377175

JOHN S. VAN AMSTERDAM
WOLF GREENFIELD & SACKS
600 ATLANTIC AVENUE
BOSTON MA 02210

023770406

NOT ASSIGNED

1940

DATE MAILED:

04/06/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 6 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$85.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 724.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 90.00 for 5 total claims over 20.

\$ 234.00 for 3 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.

- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment.

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless

For the reply and any questions about this notice

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

Docket Log for - 04/06/1999

<u>Date Recvd</u>	<u>File Number</u>	<u>Mailing Date</u>	<u>Attorney(s)</u>
04/06/1999	A0429/2008	04/01/1999	DRW
04/06/1999	B0932/7064 AT	03/30/1999	JH
04/06/1999	B0932/7064 CH	04/06/1999	IFD
04/06/1999	D0188/7051 EP	04/06/1999	IFD
04/06/1999	D0232/2001	03/30/1999	DRW
04/06/1999	G0571/7003 JP	04/07/1999	GLG
04/06/1999	H0065/2044 CN	03/18/1999	DW
04/06/1999	K0458/9000	04/01/1999	DW
04/06/1999	L0213/7052 JP	04/01/1999	SS
04/06/1999	P0681/2001 PT	04/06/1999	DRW
04/06/1999	S1022/7915	03/30/1999	JHM/LEA
04/06/1999	S1168/7011	02/25/1999 FD	JHM
04/06/1999	S1337/2011 EM	04/06/1999	EFP
04/06/1999	S1337/2012 EM	04/06/1999	EFP
04/06/1999	S1337/2015 EM	04/06/1999	EFP
04/06/1999	V0058/7011 EP	04/02/1999	LMG
04/06/1999	W0000/4008	03/11/1999	DW
04/06/1999	W0000/4008	03/11/1999	DW
04/06/1999	W0000/4008	03/24/1999	DW

Docket Log for - 04/07/1999

<u>Date Recvd</u>	<u>File Number</u>	<u>Mailing Date</u>	<u>Attorney(s)</u>
04/07/1999	A0312/7323	04/02/1999	RJP
04/07/1999	A0312/7323	04/02/1999	RJP
04/07/1999	A0514/7029 EP	03/26/1999	JNA
04/07/1999	A0665/7004	03/05/1999 FD	WGG
04/07/1999	B0884/7042	04/05/1999	LMG
04/07/1999	B0917/7024	04/02/1999	MGV
04/07/1999	B0932/7075	04/05/1999	JMH
04/07/1999	B1048/7000 EP	04/01/1999	ERP
04/07/1999	C0441/7072 AU	04/07/1999	IFD/TAH
04/07/1999	C0441/7089	04/01/1999	TAH
04/07/1999	C0441/7913 WO	03/26/1999	IFD/TAH
04/07/1999	C0593/4000	03/31/1999	DW
04/07/1999	C0762/7233	04/01/1999	TAH
04/07/1999	C0916/2017 JP	03/31/1999	PCL
04/07/1999	C0916/7020	04/05/1999	PCL
04/07/1999	C1038/7000 CN	04/07/1999	IFD/ERP
04/07/1999	D0039/2003 IT	03/31/1999	AYS/MLO
04/07/1999	D0540/2004	03/30/1999	PCL
04/07/1999	D0540/2004	03/30/1999	PCL
04/07/1999	D0540/2004	03/31/1999	PCL
04/07/1999	D0540/2004	03/31/1999	PCL
04/07/1999	G0025/7025 DE	03/30/1999	IFD
04/07/1999	H0498/7049	03/31/1999	RJP
04/07/1999	H0498/7072	03/31/1999	RJP
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04/07/1999	H0618/7000	04/01/1999	CEP
04/07/1999	I0165/4001	04/05/1999	RJK
04/07/1999	L0308/7004	03/05/1999 FD	RJK
04/07/1999	L0343/7002	04/01/1999	RJK
04/07/1999	L0461/7017 WO	03/25/1999	IFD/JRV
04/07/1999	L0532/7001 CN	04/07/1999	IFD
04/07/1999	P0316/7018 SE	03/29/1999	JMH
04/07/1999	P0681/2001 IS	03/24/1999	DRW
04/07/1999	P0681/2002 IS	03/24/1999	DRW
04/07/1999	S0864/7022	04/02/1999	SJH
04/07/1999	S1022/7784	04/05/1999	JHM/LEA
04/07/1999	S1022/7841	07/25/1997 FD	JHM/LEA
04/07/1999	S1022/8092	04/02/1999	JHM/LEA
04/07/1999	S1022/8198	03/12/1999 FD	JHM/LEA
04/07/1999	S1022/8198	04/05/1999	JHM/LEA
04/07/1999	S1022/8199	03/12/1999 FD	JHM/LEA
04/07/1999	S1022/8199	04/01/1999	JHM/LEA

Docket Log for - 04/08/1999

<u>Date Recvd</u>	<u>File Number</u>	<u>Mailing Date</u>	<u>Attorney(s)</u>
04/08/1999	A0312/7322 WO	03/25/1999	RJP
04/08/1999	A0336/2026	03/30/1999	RJK
04/08/1999	A0336/2027	03/30/1999	RJK
04/08/1999	A0628/2002	03/31/1999	PDS
04/08/1999	B0741/2161	03/31/1999	DRW
04/08/1999	B0932/2161	03/31/1999	DRW
04/08/1999	B0932/2177 EM	04/07/1999	DRW
04/08/1999	B0932/7086 WO	04/09/1999	JH
04/08/1999	B0950/7007	04/05/1999	WRM
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04/08/1999	B1034/2003	04/06/1999	LMG
04/08/1999	B1034/2004	04/06/1999	LMG
04/08/1999	B1034/2005	04/06/1999	LMG
04/08/1999	C0441/7869 WO	04/07/1999	MLO/TAH
04/08/1999	C0593/4000	04/02/1999	DW
04/08/1999	C0641/2072 CA	04/07/1999	DW
04/08/1999	C0686/7001 EP	03/31/1999	RJK
04/08/1999	C0762/7223 BR	03/30/1999	TAH
04/08/1999	C0842/2155	03/31/1999	DRW
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04/08/1999	D0532/7001 EP	04/08/1999	IFD
04/08/1999	E0347/2001	03/31/1999	DW
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04/08/1999	H0065/2072 CN	04/08/1999	DW
04/08/1999	H0065/2074 CN	04/08/1999	DW
04/08/1999	H0075/2026	03/30/1999	RJK
04/08/1999	H0498/7034 EP	04/08/1999	TJO
04/08/1999	J0192/2000	04/01/1999	EFP
04/08/1999	M0459/2016	03/31/1999	DW
04/08/1999	M0872/7011	03/29/1999	PJG
04/08/1999	M0993/7000	04/05/1999	PCL
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04/08/1999	R0429/7000 EP	04/07/1999	SS
04/08/1999	S0031/2004	03/31/1999	RJK
04/08/1999	S0353/2010 BR	04/01/1999	DW
04/08/1999	S1022/7646	04/06/1999	JHM/LEA
04/08/1999	S1022/8083	04/02/1999	JHM/LEA
04/08/1999	S1022/8204	03/16/1999 FD	JHM/LEA
04/08/1999	S1022/8204	04/05/1999	JHM/LEA
04/08/1999	T0105/2021	03/31/1999	EFP
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04/08/1999	T0469/7003	03/31/1999	NPF
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04/09/1999	A0218/2018 JP	04/06/1999	EFF
04/09/1999	A0218/2025 JP	04/06/1999	EFF
04/09/1999	A0218/5017 SG	04/08/1999	EFF
04/09/1999	A0312/7236	04/06/1999	AYS/JHM
04/09/1999	A0336/2029 JP	04/06/1999	RJK
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04/09/1999	A0336/4001	04/07/1999	RJK
04/09/1999	A0514/7036	04/07/1999	JNA
04/09/1999	A0521/7090	04/06/1999	AYS/ABS
04/09/1999	A0521/7125	04/05/1999	PJG
04/09/1999	A0709/2019	04/06/1999	EFF
04/09/1999	A0709/2021	03/02/1999	EFF
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04/09/1999	A0712/2001 CI	04/09/1999	JBP/SJH
04/09/1999	A0712/2002 CI	04/09/1999	JBP/SJH
04/09/1999	B0884/7066	04/09/1999	LMG
04/09/1999	B0932/7087 WO	04/09/1999	JH
04/09/1999	B1037/2000	04/07/1999	GSE
04/09/1999	C0441/7084	04/06/1999	MBL
04/09/1999	C0441/7093	04/07/1999	TAH
04/09/1999	C0441/7863	04/07/1999	TAH
04/09/1999	C0452/2034	04/05/1999	RJK
04/09/1999	C0452/2038	04/05/1999	RJK
04/09/1999	C0593/4000	04/07/1999	DW
04/09/1999	C0593/4000	04/07/1999	DW
04/09/1999	C0686/2004	04/06/1999	RJK
04/09/1999	C0698/7046 KZ	04/09/1999	IFD
04/09/1999	C0982/7000	04/07/1999	PJG
04/09/1999	D0188/9000	04/09/1999	AWS
04/09/1999	D0532/7004 TW	04/09/1999	RFG
04/09/1999	E0317/2018 GB	04/09/1999	EFF
04/09/1999	F0224/7012	04/07/1999	SJH
04/09/1999	F0453/2027	04/05/1999	GLG
04/09/1999	G0626/7000 WO	04/05/1999	IFD/LMG
04/09/1999	I0233/2021 JP	04/01/1999	EFF
04/09/1999	I0253/7001	04/06/1999	AYS/RFG
04/09/1999	K0021/7089	03/05/1999 FD	CSS
04/09/1999	L0343/7002	04/01/1999	RJK
04/09/1999	L0461/7059 WO	04/06/1999	IFD/JRV
04/09/1999	L0514/7000	04/05/1999	PDS
04/09/1999	L0557/2015	04/05/1999	EFF
04/09/1999	M0459/7009	04/05/1999	DW
04/09/1999	M0601/7960	04/06/1999	WRM/MAD
04/09/1999	M0765/7015 WO	04/06/1999	IFD/JRV

04/09/1999	N0187/7011 AR	03/29/1999	DW
04/09/1999	N0349/2016 AR	04/06/1999	ERG
04/09/1999	O0009/7024	03/15/1999 FD	JRV
04/09/1999	O0013/7075	04/09/1999	DW
04/09/1999	O0195/7000 TW	04/05/1999	EFF
04/09/1999	P0147/7003	04/05/1999	EFF
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04/09/1999	S0622/7027	04/06/1999	AYS/RJK
04/09/1999	S0687/7008 WO	04/07/1999	IFD/RJK
04/09/1999	S1022/7454	04/07/1999	JHM/LEA
04/09/1999	S1022/7592	04/06/1999	JHM/LEA
04/09/1999	S1022/7611	04/06/1999	JHM/LEA
04/09/1999	S1022/7754	10/09/1998 FD	JHM/LEA
04/09/1999	S1022/7894	04/06/1999	JHM/LEA
04/09/1999	S1022/7907	04/06/1999	JHM/LEA
04/09/1999	S1022/8092	04/06/1999	JHM/LEA
04/09/1999	S1237/7004 WO	04/07/1999	IFD/ERP
04/09/1999	S1303/7002	04/07/1999	MBL
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04/09/1999	W0425/7003	04/09/1999	WRM
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04/09/1999	W0528/2002	04/02/1999	JNA

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04/12/1999	A0383/7001	03/12/1999 FD	EFF
04/12/1999	A0383/7001	04/09/1999	EFF
04/12/1999	A0484/2008	04/02/1999	LMG
04/12/1999	A0484/2009	04/02/1999	LMG
04/12/1999	A0484/2010	04/02/1999	LMG
04/12/1999	A0521/7141 WO	04/09/1999	IFD/LAH
04/12/1999	A0524/2029 EM	04/10/1999	EFF
04/12/1999	A0602/7000	04/08/1999	JNA
04/12/1999	A0628/2000	03/31/1999	PDS
04/12/1999	A0665/7001 WO	03/30/1999	IFD/HK
04/12/1999	A0689/7000	02/20/1998 FD	DRW
04/12/1999	B0221/7068 JP	04/12/1999	IFD
04/12/1999	B0630/7010	04/13/1999 ID	AYS/RJK
04/12/1999	B0801/7109 WO	04/09/1999	IFD/ERG
04/12/1999	B0801/7114	04/09/1999	ERP
04/12/1999	B0801/7127	11/06/1998 FD	ERP
04/12/1999	B0884/7023	04/08/1999	LMG
04/12/1999	B0884/7025	04/06/1999	LMG
04/12/1999	B0884/7031	04/08/1999	LMG
04/12/1999	B0884/7032	04/08/1999	LMG
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04/12/1999	B0932/5009	04/08/1999	DRW
04/12/1999	B0932/5029	04/07/1999	DRW
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04/12/1999	B0932/7034 EP	03/30/1999	RFG
04/12/1999	B0932/7034 FI	04/07/1999	JMH
04/12/1999	B0932/7076	04/05/1999	JMH
04/12/1999	B0932/7077 WO	04/06/1999	IFD/JMH
04/12/1999	B0934/2010	03/29/1999	DW
04/12/1999	B0947/7011	04/06/1999	AYS/ERG
04/12/1999	B0957/2000	04/02/1999	DRW
04/12/1999	B1034/2001	04/09/1999	LMG
04/12/1999	B1034/2006	04/09/1999	LMG
04/12/1999	B1055/7000 WO	04/09/1999	IFD/JRV
04/12/1999	C0044/7127	04/09/1999	DW
04/12/1999	C0441/7850	04/13/1999 ID	AYS/TAH
04/12/1999	C0441/7875 WO	04/07/1999	IFD/TAH
04/12/1999	C0441/7875 WO	04/07/1999	IFD/TAH
04/12/1999	C0528/2002 BR	04/08/1999	DW
04/12/1999	C0580/2005	04/09/1999	PCL

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04/12/1999	C0831/2008	03/29/1999	PCL
04/12/1999	C1005/7000 WO	04/01/1999	IFD/ERG
04/12/1999	C1009/2000 IT	03/31/1999	DRW
04/12/1999	C1027/2000	04/12/1999	IFD
04/12/1999	C1027/2000	04/12/1999	IFD
04/12/1999	C1027/2000	04/02/1999	IFD
04/12/1999	C0039/2012	04/06/1999	MDO
04/12/1999	D0188/2093	04/07/1999	JMH

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04/12/1999	D0532/7013 WO	04/12/1999	IFD/GSE
04/12/1999	D0570/7000	03/04/1999 FD	MBL
04/12/1999	E0137/2054	04/08/1999	DRW
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04/12/1999	E0260/7012	03/16/1998 FD	PJG
04/12/1999	E0295/7061	04/09/1999	RFG
04/12/1999	E0320/2008	04/03/1999	EFP
04/12/1999	E0320/2011	04/03/1999	EFP
04/12/1999	E0320/2012	04/03/1999	EFP
04/12/1999	F0222/2000	04/09/1999	DRW
04/12/1999	G0635/7002	01/20/1999 FD	JHM
04/12/1999	G0651/7006	03/15/1999 FD	WGG
04/12/1999	H0065/2002 PT	04/07/1999	DW
04/12/1999	H0065/2244 EM	04/10/1999	DW
04/12/1999	H0065/7053 EP	04/07/1999	DRW
04/12/1999	H0498/7074 WO	04/07/1999	IFD/ERP
04/12/1999	I0158/7007 CA	04/06/1999	JHM
04/12/1999	I0167/2048	04/02/1999	LMG
04/12/1999	I0167/2050	04/02/1999	LMG
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04/12/1999	I0233/2022 EM	04/10/1999	EFP
04/12/1999	I0233/2023 EM	04/10/1999	EFP
04/12/1999	I0233/2039 EM	04/12/1999	EFP
04/12/1999	I0233/2040 EM	04/12/1999	EFP
04/12/1999	I0233/2041 EM	04/12/1999	EFP
04/12/1999	I0233/2043 EM	04/12/1999	EFP
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04/12/1999	M0251/7006	04/13/1999 ID	AYS/WRM
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04/12/1999	M0601/8013	04/13/1999 ID	AYS/WRM
04/12/1999	M0601/8106	04/13/1999 ID	AYS/WRM
04/12/1999	M0927/2009	04/09/1999	DW
04/12/1999	M0995/7001	12/16/1998 FD	PJG
04/12/1999	N0260/7023 WO	03/30/1999	IFD/ERG
04/12/1999	N0260/7029	04/08/1999	ERG
04/12/1999	N0287/2008 EM	04/07/1999	DW
04/12/1999	N0349/2023 CA	04/07/1999	DW
04/12/1999	N0349/2035 CA	04/01/1999	DW
04/12/1999	N0381/2000	04/02/1999	JNA
04/12/1999	N0381/2003	04/02/1999	JNA
04/12/1999	P0591/7001	04/08/1999	JMH
04/12/1999	P0681/2002 GB	04/07/1999	DRW

04/12/1999	S0319/2000 ES	04/08/1999	DW
04/12/1999	S0352/2003 BR	04/08/1999	DW
04/12/1999	S1022/7661	04/13/1999 ID	JHM/LEA
04/12/1999	S1022/7743	04/08/1999	JHM/LEA
04/12/1999	S1022/7901	04/02/1999	JHM/LEA
04/12/1999	S1022/7901	04/02/1999	JHM/LEA
04/12/1999	S1022/7901	04/02/1999	JHM/LEA
04/12/1999	S1022/8114	04/07/1999	JHM/LEA
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04/12/1999	S1381/7000 WO	04/06/1999	IFD/RJP
04/12/1999	T0033/2008 FI	04/01/1999	DW
04/12/1999	T0033/2329 KR	03/22/1999	DW
04/12/1999	T0033/2481	04/09/1999	DRW
04/12/1999	T0313/7006	04/13/1999 ID	AYS/WRM
04/12/1999	T0313/7006 AU	04/07/1999	IFD
04/12/1999	T0359/7007 WO	04/08/1999	IFD/ERG
04/12/1999	T0428/7029 WO	04/01/1999	IFD/TJO
04/12/1999	U0116/2000	03/29/1999	PCL
04/12/1999	V0074/7085	04/13/1999 ID	AYS/WRM
04/12/1999	W0490/7004	10/26/1998 FD	RJP
04/12/1999	W0494/7002	10/29/1998 FD	PCL
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04/13/1999	A0336/2001 KR	03/23/1999	RJK
04/13/1999	A0521/2070 AU	03/31/1999	IFD
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04/13/1999	A0712/2001 CI	04/12/1999	SJH, JBP
04/13/1999	A0712/2002 CI	04/12/1999	SJH, JBP
04/13/1999	B0192/2008	04/13/1999	ERP
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04/13/1999	B0932/2063 HK	04/12/1999	DRW
04/13/1999	B0932/2063 HK	04/12/1999	DRW
04/13/1999	B0932/2064 KR	03/12/1999	DRW
04/13/1999	B0932/2065 HK	04/12/1999	DRW
04/13/1999	B0932/2096 KR	03/31/1999	DRW
04/13/1999	B0932/2098 KR	03/31/1999	DRW
04/13/1999	B0932/2112 HK	04/12/1999	DRW
04/13/1999	B0932/2115 KR	03/31/1999	DRW
04/13/1999	B0932/2119 HK	04/12/1999	DRW
04/13/1999	B0932/2120 KR	03/31/1999	DRW
04/13/1999	B0932/2121 HK	04/12/1999	DRW
04/13/1999	B0932/2144 AR	04/12/1999	DRW
04/13/1999	B0932/2145 AR	04/12/1999	DRW
04/13/1999	B0932/2163 KR	03/31/1999	DRW
04/13/1999	B0932/2168 KR	03/31/1999	DRW
04/13/1999	B0932/2172 KR	03/31/1999	DRW
04/13/1999	B0932/2176 KR	03/31/1999	DRW
04/13/1999	B0932/2200 AR	04/12/1999	DRW
04/13/1999	B0932/2201 AR	04/12/1999	DRW
04/13/1999	B0932/2067 AT	04/12/1999	NPF
04/13/1999	B0932/2096 AU	04/07/1999	RAS
04/13/1999	B0932/2125	04/13/1999	JMH
04/13/1999	C0452/2001 EM	04/12/1999	RJK
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04/13/1999	C0640/2008 EP	04/13/1999	PJG
04/13/1999	C0698/2018 DE	04/07/1999	PCL
04/13/1999	C0762/2233	04/13/1999	TAH
04/13/1999	D0456/2025 EM	04/13/1999	DW
04/13/1999	E0175/2010 GB	04/06/1999	AYS
04/13/1999	K0405/2007 EP	04/08/1999	IFD
04/13/1999	N0287/2000 BO	04/01/1999	DW
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04/13/1999	S0906/2003 GE	04/06/1999	AYS
04/13/1999	S1022/2266	04/06/1999	JHM, LEA
04/13/1999	S1022/2211	04/09/1999	JHM, LEA
04/13/1999	S1342/2014	04/12/1999	EPF
04/13/1999	T0425/2014	04/13/1999	IFD
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04/14/1999	A0336/2023	04/12/1999	RJK
04/14/1999	A0514/7031 EP	04/06/1999	JNA
04/14/1999	A0654/7054	04/08/1999	MGV
04/14/1999	A0654/7059	03/09/1999 FD	MGV
04/14/1999	A0654/7059	04/06/1999	MGV
04/14/1999	B0884/7044	04/12/1999	LMG
04/14/1999	B0917/7009 JP	04/14/1999	IFD
04/14/1999	B0932/2127 AU	04/07/1999	DRW
04/14/1999	B0932/7040	04/12/1999	JMH
04/14/1999	B0932/7101 EP	04/08/1999	RFG
04/14/1999	B0950/7006	03/23/1999 FD	WRM
04/14/1999	B0996/7001	04/07/1999	JNA
04/14/1999	C0441/7077 AU	04/14/1999	IFD
04/14/1999	C0441/7741 EP	04/08/1999	PDS
04/14/1999	C0441/7903 WO	04/07/1999	PDS
04/14/1999	C0641/2010 CA	04/06/1999	DW
04/14/1999	C0641/2010 CA	04/06/1999	DW
04/14/1999	C0641/2031	04/06/1999	DW
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04/14/1999	C0641/2077 CA	04/06/1999	DW
04/14/1999	C0698/7025 KR	04/13/1999	PCL
04/14/1999	C0931/2001	04/09/1999	DW
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04/14/1999	C1027/2114	04/07/1999	PCL
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04/14/1999	C1027/2115	04/07/1999	PCL
04/14/1999	C1027/2116	04/07/1999	PCL
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04/14/1999	I0167/2019 KR	04/14/1999	LMG
04/14/1999	I0167/2039 KR	04/14/1999	LMG
04/14/1999	I0167/2048 KR	04/14/1999	LMG
04/14/1999	I0181/2036	04/09/1999	DW
04/14/1999	I0273/2039 TW	04/14/1999	EFP

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04/14/1999	L0532/7004	04/09/1999	ERG
04/14/1999	M0459/2015 MX	03/29/1999	DW
04/14/1999	M0516/2054 CA	04/12/1999	DN
04/14/1999	M0520/2054	04/12/1999	DN
04/14/1999	M0715/2051	04/12/1999	ADP
04/14/1999	N0318/7006	04/14/1999 FD	MMV
04/14/1999	O0145/2000 EM	04/13/1999	DN
04/14/1999	P0644/2001	04/11/1999	DW

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04/14/1999	P0653/7001 CN	04/13/1999	IFD
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04/14/1999	S0489/7005	04/07/1999	GSE
04/14/1999	S0864/7032	04/14/1999	RJP
04/14/1999	S1022/7679	04/12/1999	JHM/LEA
04/14/1999	S1022/7803	04/12/1999	JHM/LEA
04/14/1999	S1022/7944	04/12/1999	JHM/LEA
04/14/1999	S1022/8016	04/12/1999	JHM/LEA
04/14/1999	S1022/8136	02/04/1999 FD	JHM/LEA
04/14/1999	S1022/8148	11/03/1998	JHM/LEA
04/14/1999	T0033/2477	04/06/1999	DW
04/14/1999	T0357/7015	04/12/1999	MLO
04/14/1999	T0469/7002	03/13/1999 FD	NPF
04/14/1999	T0469/7002	04/08/1999	NPF
04/14/1999	V0058/7018	04/09/1999	LMG
04/14/1999	V0119/7006	04/09/1999	JHM
04/14/1999	W0494/2008 CL	03/22/1999	PCL

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04/15/1999	A0180/2003	04/12/1999	SS
04/15/1999	A0312/7164 JP	04/12/1999	RJP
04/15/1999	A0312/7320	04/13/1999	RJP
04/15/1999	A0521/7082	04/12/1999	PJG
04/15/1999	A0639/2002 JP	04/07/1999	EFP
04/15/1999	B0801/7085	04/13/1999	PJG
04/15/1999	B0932/2053 NZ	04/15/1999	DRW
04/15/1999	B0932/2055 EM	04/15/1999	DRW
04/15/1999	B0932/2156	04/13/1999	JMH
04/15/1999	B0932/2159 CH	04/15/1999	DRW
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04/15/1999	B0932/7041	04/13/1999	JMH
04/15/1999	B0934/2011	04/02/1999	DW
04/15/1999	C0281/2023	03/30/1999	DW
04/15/1999	C0441/7119	04/13/1999	TAH
04/15/1999	C0441/7843	04/13/1999	PDS
04/15/1999	C0602/2001 ES	04/07/1999	RJK
04/15/1999	C0641/2004 EM	04/14/1999	DW
04/15/1999	C0698/7099 DE	04/15/1999	RHW
04/15/1999	C0762/7217 AU	03/23/1999	IFD
04/15/1999	C0940/2000	03/30/1999	DW
04/15/1999	C0989/7000 WO	04/15/1999	HK /IFD
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04/15/1999	D0532/7019 WO	04/07/1999	IFD/GSE
04/15/1999	E0260/7007	04/06/1999	AYS/PJG
04/15/1999	E0320/2007	04/07/1999	EFP
04/15/1999	E0320/7044	03/15/1999 FD	RJP
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04/15/1999	F0448/7005	04/13/1999	ERG
04/15/1999	H0574/2002	03/29/1999	DW
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04/15/1999	J0177/4000	04/01/1999	SS
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04/15/1999	L0215/2011 BR	04/14/1999	DW
04/15/1999	L0461/9000	04/14/1999	JRV
04/15/1999	L0501/7017	04/13/1999	JHM
04/15/1999	M0011/2119	03/29/1999	DW
04/15/1999	M0459/9000	04/13/1999	DW
04/15/1999	M0506/2058	04/12/1999	DW
04/15/1999	M0725/7000	04/08/1999	RJK

04/15/1999	N0287/2018	04/12/1999	DW
04/15/1999	N0287/2020 CL	03/26/1999	DW
04/15/1999	N0349/2016 EM	04/14/1999	DW
04/15/1999	N0349/2034 EM	04/14/1999	DW
04/15/1999	N0349/2034 EM	04/08/1999	IMS
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04/16/1999	A0524/2021	04/06/1999	EFP
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04/16/1999	B0932/7055 WO	04/16/1999	IFD/RFG
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04/16/1999	C1027/2116	04/07/1999	PCL
04/16/1999	C1041/9002	04/13/1999	IFD/HK
04/16/1999	C1043/7011	04/16/1999	TAH
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04/16/1999	E0317/2024 HK	04/16/1999	EFP
04/16/1999	E0317/2029 HK	04/16/1999	EFP
04/16/1999	E0317/2044	04/07/1999	EFP
04/16/1999	E0320/2005	04/09/1999	EFP
04/16/1999	E0320/2010	04/06/1999	EFP
04/16/1999	E0320/2017	04/07/1999	EFP
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04/16/1999	H0239/2000 EM	04/14/1999	LMG
04/16/1999	H0486/2008	04/09/1999	EFP
04/16/1999	H0498/7074	06/30/1998 FD	ERP
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04/16/1999	I0233/2024	04/09/1999	EFP

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04/16/1999	M0506/2062 CL	03/30/1999	DW
04/16/1999	M0506/7014	03/23/1999 FD	JNA
04/16/1999	M0506/7014	04/13/1999	JNA
04/16/1999	M0995/2004	04/14/1999	EFP
04/16/1999	M0995/2004 CH	04/14/1999	EFP
04/16/1999	M0995/2005 EM	04/16/1999	EFP
04/16/1999	M0995/2006 EM	04/16/1999	EFP

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04/16/1999	N0369/2000	04/07/1999	GLG
04/16/1999	P0316/2015	04/07/1999	JMH
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04/16/1999	P0644/2005	04/07/1999	DW
04/16/1999	P0691/2001	03/30/1999	DRW
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04/16/1999	S0064/2000 ES	04/15/1999	DW
04/16/1999	S1022/7496	04/14/1999	JHM/LEA
04/16/1999	S1022/7807	04/14/1999	JHM/LEA
04/16/1999	S1022/7852	04/13/1999	JHM/LEA
04/16/1999	S1022/7918	04/14/1999	JHM/LEA
04/16/1999	S1022/8004	04/14/1999	JHM/LEA
04/16/1999	S1022/8061	04/13/1999	JHM/LEA
04/16/1999	S1022/8067	02/09/1999 FD	JHM/LEA
04/16/1999	S1022/8167	04/15/1999	JHM/LEA
04/16/1999	S1302/2000	04/06/1999	EFP
04/16/1999	S1352/2002	04/01/1999	RJP
04/16/1999	V0005/5010	04/13/1999	DRW
04/16/1999	V0005/7081	04/14/1999	ERG
04/16/1999	V0077/7071 TW	04/16/1999	IFD/WRM
04/16/1999	W0494/2048	04/09/1999	PCL
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04/16/1999	Z0090/7016	04/25/1999	IDZ

*** ACTIVITY REPORT ***

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